

PATENT

Docket: P-11209.05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Walter L. Carpenter, et al)	Art Unit: 3761
Serial No.:	10/743,357)	Examiner: Leslie R. Deak
Filed:	12-22-2003)	
For:	EXTRACORPOREAL BLO	OD CIR	CUIT PRIMING SYSTEM AND METHOD

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

This information disclosure statement includes some documents from the pending *Cardiovention v. Medtronic* lawsuit in Federal Court in Minnesota. Cardiovention has said the following in court papers:

Cardiovention first presented the CORx disposable IOS without a confidentiality agreement at a trade show on January 24, 2002, accompanied by a short animated video. Later,

similar information regarding the CORx disposable was placed on CardioVention's website. CardioVention first sold the CORx disposable IOS internationally to a German distributor in November 2001. However, the CORx disposable IOS was not offered or sale to the public in Europe until March 2002 and first sold in the United States on April 17, 2002, after it received FDA clearance.

Enclosed are machine translations (uncertified) of Italian patent application Nos. MO2005A000243 and MO2005A000244.

Please charge the fee of \$180.00 pursuant to 37 C.F.R. Section 1.17(p) for the submission of this supplemental information disclosure statement to Deposit Account No. 13-2546.

Respectfully submitted,

Walter L. Carpenter, et al By their Representatives,

Jeffrey J. Hohenshell

Attorney for Applicant Registration No. 34,109

Telephone: (763) 391-9661

Customer No.: 27581

Doc: PAT-240.FRM Rev: August 1, 1995

Page 1 of 3 Serial No.: 10/743,357 **INFORMATION** Atty. Docket No.: P-11209.05 DISCLOSURE STATEMENT Applicant(s): Carpenter et al Filing Date: 12-22-2003 Group: 3761 U.S. PATENT DOCUMENTS Examiner Document Number Name Class SubClass Filing Date If Appropriate Initial Cullis et al. 4,185,629 01-29-1980 4,710,165 McNeil et al. 12-01-1987 5,540,653 07-30-1996 | Schock 5,984,892 11-16-1996 | Bedingham 6,299,589 10-09-2001 Utterberg 6,306,346 10-23-2001 | Lindsay 6,495,366 12-17-2002 **Briggs** 6,607,698 08-19-2003 Spears et al. 11-30-2004 Favre 6,824,524 Rawles et al. 6,890,316 05-10-2005 Vijay et al. 6,946,099 09-20-2005 7,022,284 04-04-2006 Brian, et al. 2002/0044889 04-18-2002 Aboul-Hosn et al. Carpenter et al. 2004/0195178 10-07-2004 2004/0197223 10-07-2004 Olsen et al. 2004/0220509 Olsen et al. 11-04-2004 2004/0217054 11-04-2004 Olsen et al. 2005/0063860 03-24-2005 Carpenter et al. FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	SubClass	Translation	
					Yes	No
1 374 929	01-02-2004	EP				
2002/26288	04-04-2002	WO				
2005/067998	07-28-2005	WO				
MO2005A000244		IT			(uncertified machine)	
MO2005A000243		IT			(uncertified machine)	

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Declaration of Jorge Ojito in the matter of United States District Court, District of Minnesota, Civil File No. 04-CV-02669, Cardio Vention, Inc. v. Medtronic, Inc., executed November 22, 2005.

EXAMINER Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce

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INFORMATION		Atty. Docket	No.: P-11209.05	Serial No.: 10/743,357	
DISCLOSURE					
STATEMENT		Applicant(s): Carpenter et al			
		Filing Date: 1	2-22-2003	Group: 3761	
ОТНЕ	R DOCUMENT	S (Including A	uthors, Title, Date, Pe	rtinent Papers, etc.)	
	Curriculum Vitae of Jorge Ojito, Exhibit 1 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).				
	Drawing of the Venous Pull Circuit dated July 1997, Exhibit 2 to the Declaration of Jorge Ojito executed November 22, 2005 (1 page).				
	Drawing from Katsura U.S. Patent No. 4,919,802, issued on April 24, 1990, Exhibit 3 to the Declaration of Jorge Ojito executed November 22, 2005 (2 pages).				
	Advertising brochure from COBE Cardiovascular for the Century Perfusion System circa 1997, Exhibit 4 to the Declaration of Jorge Ojito executed November 22, 2005 (4 pages).				
	Two pictures of an ultrasonic air sensor allegedly used on the Venous Pull Circuit in 1997 and 1998, Exhibit 5 to the Declaration of Jorge Ojito executed November 22, 2005 (2 pages).				
	Picture of the Venous Pull Circuit, Exhibit 6 to the Declaration of Jorge Ojito executed November 22, 2005 (1 page).				
	Ojito, et al., "Assisted Venous Drainage Cardiopulumonary Bypass in Congenital Heart Surgery," Ann. Thorac. Surg., 2001;71:1267-72, Exhibit 7 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).				
	Custom Tubing Pack Specification, Exhibit 8 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).				
	Medtronic Cardiopulmonary personnel business cards, Exhibit 9 to the Declaration of Jorge Ojito executed November 22, 2005 (1 page).				
	Circulacion Extracorporea presentation brochure, Exhibit 10 to the Declaration of Jorge Ojito executed November 22, 2005 (4 pages).				
	Certificate of participation of Jorge Ojito, Exhibit 11 to the Declaration of Jorge Ojito executed November 22, 2005 (2 pages).				
	AmSECT Region XI Annual Fall Meeting agenda, Exhibit 12 to the Declaration of Jorge Ojito executed November 22, 2005 (2 pages).				
	PowerPoint presentation regarding Assisted Venous Drainage Cardiopulmonary Bypass: Safety and Efficacy in Congenital Heart Surgery, Jorge W. Ojito, Exhibit 13 to the Declaration of Jorge Ojito executed November 22, 2005 (48 pages).				
	United States Patent 6,302,860, Exhibit 14 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).				
	United States Patent 6,524,267, Exhibit 15 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).				
	Gremel I patent co 22, 2005 (4 pages)	emel I patent comparison chart, Exhibit 16 to the Declaration of Jorge Ojito executed November, 2005 (4 pages).			
	Gremel II patent co 22, 2005 (5 pages)		xhibit 17 to the Declaration of	of Jorge Ojito executed November	
EXAMINER			Date Considered		
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DISCLOSURE						
STATEMENT	Applicant(s): Carpenter et al					
	Filing Date: 12-22-2003	Group: 3761				
OTHER DOCUMENT	ΓS (Including Authors, Title, Date, Pe	ertinent Papers, etc.)				
	Mueller, et al., "A new concept of integrated cardiopulmonary bypass circuit," European Journal of Cardio-thoracic Surgery 21 (2002) 840-846.					
	Morita, et al., "Closed Circuit Cardiopulmonary Bypass with Centrifugal Pump for Open-Heart Surgery: New Trial for Air Removal," Artificial Organs 2000; 24(6):442-445.					
technology for tra Hospitalier Unive	Jegger, et al., "Introduction & Description of CardioVention's CORx System. A replacement technology for traditional cardiopulmonary bypass," Dept of Cardiovascular Surgery, Centre Hospitalier Universitaire Vaudois (CHUV), Switzerland (9 pages).					
	se CORx System, CardioVention, Inc., Santa Cl					
Civil File No. 04-	Complaint in Cardio Vention, Inc. v. Medtronic, Inc., U.S. District Court, District of Minnesota, Civil File No. 04-CV-02669 (16 pages).					
District of Minnes	n F. Brian, Ph.D., in CardioVention, Inc. v. Med sota, Civil File No. 04-CV-02669 (13 pages).					
CardioVention, Ir 04-CV-02669 (11	Plaintiff's Answers and Objections to Defendant's First Set of Interrogatories to Plaintiff in Cardio Vention, Inc. v. Medtronic, Inc., U.S. District Court, District of Minnesota, Civil File No. 04-CV-02669 (11 pages).					
District of Minnes	Memorandum of Law & Order in Cardio Vention, Inc. v. Medtronic, Inc., U.S. District Court, District of Minnesota, Civil File No. 04-CV-02669 (18 pages).					
	CardioVention CORx System 510(k) Summary dated June 16, 2001 (8 pages).					
Circuit for Minim	Matayoshi, et al., "Development of a Completely Closed Circuit Using an Air Filter in a Drainage Circuit for Minimally Invasive Cardiac Surgery," Artificial Organs, 24(6):454-458, 2000.					
	"High-flow femoro-femoral bypass utilizing smusside," Perfusion 1992; 7:295-300.	all cannulae and a centrifugal				
Hatteland, "Doppl	Hatteland, "Doppler Ultrasound Application in Cardiology and Cardiac Surgery," from the Thoracic Surgical Clinic, Karolinska Institutet, Stockholm, Sweden, 1985 (6 pages).					
Memorandum in S CardioVention, Ir	Memorandum in Support of Plaintiff's Motion to Compel Production of Documents in CardioVention, Inc. v. Medtronic, Inc., U.S. District Court, District of Minnesota, Civil File No. 04-CV-02669 (22 pages).					
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